

is zero at threshold<sup>25</sup> because of the PW density of states, the  $\alpha$  term rises from zero with an initially infinite slope, reaching a maximum only 2 eV above threshold (photon energy 12.5 eV). This behavior, resulting from contributions to  $\alpha$  that are proportioned to  $k$  rather than to  $k^5$  as in the PW expression for  $\beta/2$  in eq 13, produces two maxima in the dependence of  $(d\sigma/d\Omega)_\perp$  on photon energy. The high-energy maximum is similar to those in Figure 1, but shifted about 5 eV

(25) By contrast, the exact cross section for the photoionization of the H atom (ref 3) is a maximum at threshold. An improved procedure might be the combination of OPW matrix elements with the density of states appropriate to the H atom continuum.

to higher energy and reduced in height as described above. The question remains as to whether the OPW procedure<sup>26</sup> will confirm the chemical trends found in the PW cross sections.

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(26) For an OPW study of anthracene applied to the photoconductivity of the crystal, see R. D. Sharma, *J. Chem. Phys.*, **46**, 2841 (1967).

## $\omega$ -Type Calculations on $\pi$ -Electron Systems with Inclusion of Overlap Charges. I. Ionization Potentials of Some Alternant Hydrocarbons

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**Abstract:**  $\omega$ -Type calculations have been performed on  $\pi$ -electron systems with inclusion of overlap charges. Ionization potentials of some alternant hydrocarbons have been calculated and the results obtained compared with those obtained from the SCF-MO method and with experimental values.

In recent years much attention has been paid to the Hückel equation.<sup>1</sup> A simple argument shows that the coulomb integral  $H_{\mu\mu}$  for a carbon atom  $\mu$  in a  $\pi$  lattice for which the electron density  $q_\mu$  is not unity cannot be treated as a parameter. If  $q_\mu < 1$ , the net positive charge  $(1 - q_\mu)$  means that the screening "seen" by any one electron is reduced and the coulombic attraction to the nucleus is increased.  $H_{\mu\mu}$  for such a carbon should have a more negative value. Conversely, a carbon with a net negative charge should have a less negative value of  $H_{\mu\mu}$ . Wheland and Mann<sup>2</sup> proposed that the value of  $H_{\mu\mu}$  should be linearly related to the charge. Their proposal may be formulated as

$$H_{\mu\mu} = \alpha_0 + (1 - q_\mu)\omega \quad (1)$$

$$H_{\mu\nu} = \beta_{\mu\nu} \quad (2)$$

in which  $\alpha_0$  and  $\omega$  are treated as empirical parameters whose value may be chosen so as to give best agreement with experimental results. The resonance integral  $\beta_{\mu\nu}$  is supposed to be zero unless  $\mu$  and  $\nu$  are directly bonded; for all directly bonded  $\mu, \nu$ , it is given a constant value.

Thus the dependence of the coulomb integral upon the net charge of an atom introduces some electron repulsion within the framework of the simple LCAO method.<sup>3</sup> The use of this method in the literature is still rather limited, but it has been shown to lead to

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(1) (a) L. C. Cusachs and J. W. Reynolds, *J. Chem. Phys.*, **43**, 160 (1965); (b) R. Rein, N. Fukuda, H. Win, G. A. Clarke, and F. E. Harris, *ibid.*, **45**, 4743 (1966).

(2) G. W. Wheland and D. E. Mann, *ibid.*, **17**, 264 (1949).

(3) A. Streitwieser, Jr., *J. Amer. Chem. Soc.*, **82**, 4123 (1960).

improved calculated dipole moments for hydrocarbons<sup>2,4</sup> and greatly improved energy values for organic cations.<sup>3,5</sup> The  $\omega$  technique also involves an iterative procedure like the SCF equation. In the first iteration the Hückel charge distributions are used as a first approximation. However, in a semiempirical treatment, the iterative procedure is generally omitted.

### Inclusion of Overlap Charges in the $\omega$ Technique (IOC $\omega$ Technique)

All investigators who have used the  $\omega$  technique have neglected the overlap charges in their calculations. Therefore, according to Harris,<sup>6</sup> if we include the overlap, take the net charge on atom  $\mu$  as that resulting when all overlap charges are divided equally among the atoms involved, and use the Wolfsberg-Helmholtz<sup>7</sup> form for  $H_{\mu\nu}$ , we have

$$H_{\mu\mu} = \alpha_0 + \omega[1 - 1/2 \sum_{\sigma} (p_{\mu\sigma} S_{\mu\sigma} + p_{\sigma\mu} S_{\sigma\mu})] \quad (3)$$

$$H_{\mu\nu} = 1/2 K S_{\mu\nu} (H_{\mu\mu} + H_{\nu\nu}) \quad (4)$$

where  $K$  is a dimensionless constant and  $p_{\mu\sigma}$  is defined as

$$p_{\mu\sigma} = 2 \sum_i^{\text{occ}} c_{i\mu} c_{i\sigma} \quad (5)$$

(4) G. Berthier and A. Pullman, *C. R. Acad. Sci.*, **229**, 761 (1949).

(5) (a) N. Muller, L. W. Pickett, and R. S. Mulliken, *J. Amer. Chem. Soc.*, **76**, 4770 (1954); (b) N. Muller and R. S. Mulliken, *ibid.*, **80**, 3489 (1958); (c) A. Streitwieser, Jr., and P. N. Nair, *Tetrahedron*, **5**, 149 (1959).

(6) F. E. Harris, *J. Chem. Phys.*, **48**, 4027 (1968).

(7) M. Wolfsberg and L. Helmoltz, *ibid.*, **20**, 837 (1952).

in which  $c_{i\mu}$  and  $c_{i\sigma}$  are coefficients of atomic orbitals in the  $i$ th molecular orbital.

Now it is apparent that if the overlap integral  $S_{\mu\sigma}$  is neglected in eq 3 unless  $\mu = \sigma$ , the equation will reduce to eq 1. However, in the present calculations  $S_{\mu\sigma}$  is given a constant value, say  $S$ , for  $\mu, \sigma$  bonded and is supposed to be unity for  $\mu = \sigma$ .

Inclusion of overlap charges in the  $\omega$  technique apparently approximates SCF equations by bringing in an approximate correction for two-center, two-electron repulsion integrals *via* the relationship (3), while the  $\omega$  technique approximates only one-center, two-electron repulsion integrals. The present communication deals with the application of new matrix elements to  $\pi$ -electron systems. This is the first time that anybody has performed  $\omega$ -type calculations on  $\pi$ -electron systems with inclusion of overlap charges.

### Ionization Potentials of Alternant Hydrocarbons

The energy of each of the occupied orbitals is an approximation to one of the ionization potentials. The first ionization potential,  $I$ , of an even alternant hydrocarbon corresponds to the energy of the highest occupied molecular orbital<sup>8</sup> (Koopmans' theorem<sup>9</sup>). Therefore, from the approximate version of simple molecular orbital theory, if  $\psi_n$  is the highest occupied molecular orbital, the ionization potential,  $I$ , of an even alternant hydrocarbon is given as

$$-I = \sum c_{n\mu} H_{\mu\nu} c_{n\nu} \quad (6)$$

$$= \sum_{\mu} c_{n\mu}^2 H_{\mu\mu} + 2 \sum_{\mu < \nu} c_{n\mu} H_{\mu\nu} c_{n\nu} \quad (7)$$

Here summations are over all  $\mu, \nu$ . For alternant hydrocarbons it can easily be shown that

$$p_{\mu\mu} = 1$$

and

$$p_{\mu\sigma} = p_{\sigma\mu} \quad (8)$$

so that eq 3, 4, and 8, when applied in eq 7, lead to

$$-I = \alpha_0 + mKS\alpha_0 - \omega S \sum_{\mu} \sum_{\sigma \neq \mu} c_{n\mu}^2 p_{\mu\sigma} - K\omega S^2 \sum_{\mu < \nu} \sum_{\lambda \neq \mu} \sum_{\sigma \neq \nu} c_{n\mu} c_{n\nu} (p_{\mu\lambda} + p_{\nu\sigma}) \quad (9)$$

where

$$m = 2 \sum_{\mu < \nu} c_{n\mu} c_{n\nu} \quad (10)$$

Here summations like  $\sum_{\sigma \neq \mu}$  are only over directly bonded atoms; the summation  $\sum_{\mu < \nu}$  is over only  $\mu, \nu$  bonded, as it occurs with  $S$  which is defined only for bonded atoms.

Before using eq 9 for evaluation of the ionization potentials, one must first determine the values of  $\alpha_0$  and  $\omega$  empirically, using the known  $I$  values of some compounds. However,  $\alpha_0 = -9.40$  and  $\omega = -9.44$  eV

(8) R. S. Mulliken, *Phys. Rev.*, **74**, 736 (1948).

(9) T. Koopmans, *Physica*, **1**, 104 (1933).

with  $K = 2$  and  $S = 0.25$  have been found to give quite satisfactory results. As an approximation, the simple HMO coefficients are used for the present purpose.

### Results and Discussion

In Table I, we have listed the ionization potentials of some alternant hydrocarbons calculated by us from eq 9 and those calculated by Dewar, *et al.*,<sup>10</sup> using

**Table I.** Calculated and Observed Ionization Potentials of Some Alternant Hydrocarbons

No.	Compound	$m$	Ionization potential, eV		
			IOC $\omega$ technique	SCF-MO method	Obsd
1	Ethylene	1.00	10.56	10.14	10.56
2	Benzene	1.00	9.38	9.35	9.38
3	Naphthalene	0.618	8.31	8.45	8.26
4	Anthracene	0.414	7.72	7.83	7.55
5	Phenanthrene	0.605	8.19	8.28	8.03
6	Tetracene	0.295	7.37	7.42	
7	3,4-Benzo-phenanthrene	0.568	7.98	8.09	7.84
8	Styrene	0.662	8.73	8.71	8.86
9	Biphenyl	0.705	8.31	8.45	8.30
10	Butadiene	0.618	9.15	9.02	9.18

Pople's approximate SCF-MO theory, along with the observed ones.<sup>11</sup> All the calculated values listed in Table I refer to the vertical ionization potentials, since the geometry of the molecule has not been changed while performing the calculations. As a comparative study is to be made among the calculated and observed values, we have listed only the electron-impact data for the observed ones, as on some bases they have been proved to be the vertical ionization potentials.<sup>10</sup>

We first point out from the present calculations that the inclusion of the overlap charges in the  $\omega$  technique separates the ionization potentials of ethylene and benzene, which, within the simple HMO method, the HMO method with nearest neighbor overlaps, and also the simple  $\omega$  technique, are predicted to have the same ionization potential. Further, from Table I, it is clear that the IOC  $\omega$  technique gives results better even than does the SCF-MO theory. The average percentage deviation of the present calculations from the observed values is only 0.85, while that of SCF-MO calculations is 2.40. Therefore, it may be concluded that the IOC  $\omega$  technique is much better for giving results of chemical accuracy and hence may be successfully applied to  $\pi$ -electron systems.

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(10) M. J. S. Dewar, J. A. Hashmall, and C. G. Venier, *J. Amer. Chem. Soc.*, **90**, 1953 (1968).

(11) R. S. Becker and E. Chen, *J. Chem. Phys.*, **45**, 2403 (1966).